



PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete If Known	
				Application Number	10/071228
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	February 8, 2002
				First Named Inventor	Pettit, Steven G.
				Art Unit	2661
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	ENB-012

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/					

RECEIVED
JUN 07 2004
Technology Center 2600

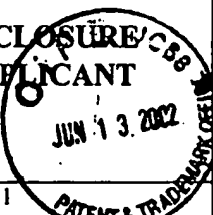
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/						

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
WW	B1	Arciniegas. "XML structure, syntax and uses." In <u>XML Developer's Guide</u> , McGraw-Hill Osborne Media, pp. 4-31 (2000)	
WW	B2	Geary. "Tables." In <u>Graphic Java, Mastering the JFC</u> . 3d edition, Chapter 19, pp. 1132-1283 (1999)	
/			
Examiner Signature		Date Considered 11/30/2005	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/071,228	ATTY. DOCKET NO.: E00378.70181/JHM/DPM
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		FILING DATE:	February 8, 2002
		APPLICANT:	Richmond et al
		GROUP ART UNIT: 2661	EXAMINER: Not yet assigned
Sheet	1	of	1

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
/					

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
/							

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
----------------------	---------	---	-------------------

WW	1	Steven Pettit, "Enterasys User Personalized Network", pp. 1-16, Enterasys Networks' White Paper, 2/12/2001;		
	2	J.P. Gorsky, "Layer 2/3/4 Frame Classification Primer", pp. 1-4, Enterasys Networks' White Paper; pp. 1-4, 05/1999;		
	3	Keith Schultz, "A Complete Solution," Internet Week, January 22, 2001, pp. 1-4;		
	4	Business Wire, "Enterasys Networks' Unveils the Industry's First User Personalized Network," 02/12/2001, pp. 1-2;		
	5	Phil Hochmuth, "Enterasys Brings Policy Enforcement Closer to Users," Network World, 02/12/2001, pp. 1-2;		
↓	6	Paul Congdon, Hewlett Packard, IEEE 802.1X Overview, "Port Based Network Access Control", IEEE Plenary, Albuquerque, NM, March 2000		

EXAMINER <i>Wanor</i>	DATE CONSIDERED <i>11/30/2005</i>
-----------------------	-----------------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

RECEIVED

JUN 18 2002

Technology Center 2600

FORM PTO-1449 and B (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 10/071,228

ATTY. DOCKET NO.: E00378.70181US00

FILING DATE: February 8, 2002

CONFIRMATION NO.: 9237

APPLICANT: Steven A. Pettit et al.

GROUP ART UNIT: 2661

EXAMINER: Not Yet Assigned

Sheet	1	of	1
-------	---	----	---

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
WW	1	6,212,633	B1	Levy et al.	04/03/2001
	2	6,182,226	B1	Reid et al.	01/30/2001
	3	6,134,662	B1	Levy et al.	10/17/2000
	41	5,968,176		Nessett et al.	10/19/1999
	5	US/2003/0118038	A1	Jalava et al.	06/26/2003
↓	6	US/2003/0084331	A1	Dixon et al.	05/01/2003
/					
/					
/					
/					

RECEIVED

SEP 15 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
WHL	7	Michael L. DeRosia, et al. "Firewalls" ISMG 4700, Data Communications, December 7, 2000		
/				

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).



RECEIVED
JUN 24 2002
Technology Center 2600

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 10/071,228	ATTY. DOCKET NO.: E00378.70181/JHM/DPM
		FILING DATE:	February 8, 2002
		APPLICANT:	Richmond et al
		GROUP ART UNIT: 2661	EXAMINER: Not yet assigned
Sheet	1	of	1

U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
WW	1	5,889,953		Thebaut et al.	03/30/1999

FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
-------------------------	------------	---	----------------------

EXAMINER <i>Warr</i> <i>Woy</i>	DATE CONSIDERED <i>11/30/2005</i>
------------------------------------	--------------------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).